

# Fractional Turán's theorem and bounds for the chromatic number

Leonardo Ignacio Martínez Sandoval  
Luis Montejano Peimbert

IMATE - Unidad Juriquilla, UNAM  
I3M - Université de Montpellier

LAGOS 2015, May 14

# Graphs

- ▶ A *graph* is a pair of sets  $G = (V, E)$
- ▶  $V$  is called the set of *vertices*.
- ▶  $E$  is called the set of *edges*. The elements from  $E$  are some pairs of vertices.

# Hummingbird Graph

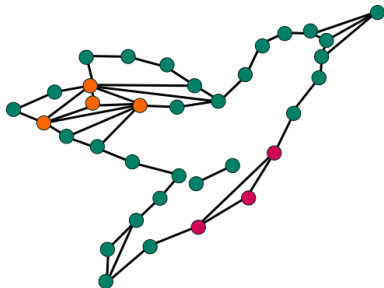


## Cliques and clique number

- ▶ A subset of vertices is a *clique* if there is an edge between any two of them.
- ▶ The *clique number* of a graph is the size of a largest clique. It is denoted by  $\omega(G)$ .

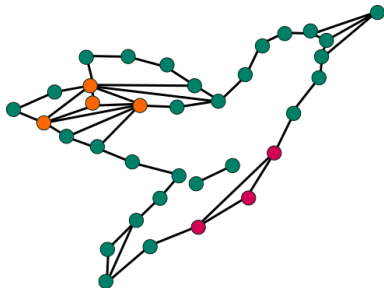
## Cliques and clique number

- ▶ A subset of vertices is a *clique* if there is an edge between any two of them.
- ▶ The *clique number* of a graph is the size of a largest clique. It is denoted by  $\omega(G)$ .



# Cliques and clique number

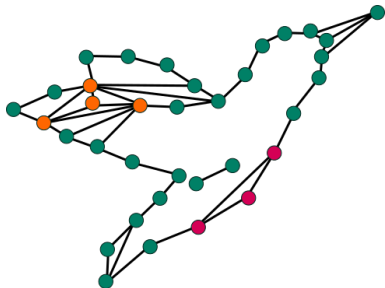
- ▶ A subset of vertices is a *clique* if there is an edge between any two of them.
- ▶ The *clique number* of a graph is the size of a largest clique. It is denoted by  $\omega(G)$ .



- ▶ How are  $|E|$  and  $\omega(G)$  related?

## Cliques and clique number

- ▶ A subset of vertices is a *clique* if there is an edge between any two of them.
- ▶ The *clique number* of a graph is the size of a largest clique. It is denoted by  $\omega(G)$ .



- ▶ How are  $|E|$  and  $\omega(G)$  related?
- ▶ **Intuition:** If  $|V|$  is fixed and  $|E|$  is large, then  $\omega(G)$  is large.

## Mantel's theorem and Turán's theorem

### Theorem (Mantel, 1907)

Let  $G = (V, E)$  be a graph such that  $|V(G)| = n$ . If

$$|E(G)| > \left\lfloor \frac{n}{2} \right\rfloor \cdot \left\lceil \frac{n}{2} \right\rceil,$$

then

$$\omega(G) \geq 3.$$



## Mantel's theorem and Turán's theorem

### Theorem (Mantel, 1907)

Let  $G = (V, E)$  be a graph such that  $|V(G)| = n$ . If

$$|E(G)| > \left\lfloor \frac{n}{2} \right\rfloor \cdot \left\lceil \frac{n}{2} \right\rceil,$$

then

$$\omega(G) \geq 3.$$

### Theorem (Turán, 1941)

Let  $G = (V, E)$  be a graph such that  $|V(G)| = n$  and  $r$  a positive integer. If

$$|E(G)| > \frac{r-1}{r} \cdot \frac{n^2}{2},$$

then

$$\omega(G) \geq r + 1.$$

## (Fractional) Turan's theorem

- ▶ A graph  $G$  on  $n$  vertices has at most

$$\binom{n}{2} \approx \frac{n^2}{2}$$

edges.

## (Fractional) Turan's theorem

- ▶ A graph  $G$  on  $n$  vertices has at most

$$\binom{n}{2} \approx \frac{n^2}{2}$$

edges.

- ▶ **Intuition:** A large *proportion* of the maximum possible edges yields a large clique of *fixed size* with respect to  $n$ .

## (Fractional) Turan's theorem

- ▶ A graph  $G$  on  $n$  vertices has at most

$$\binom{n}{2} \approx \frac{n^2}{2}$$

edges.

- ▶ **Intuition:** A large *proportion* of the maximum possible edges yields a large clique of *fixed size* with respect to  $n$ .
- ▶ **Question:** Does there exist a result that given a large proportion of edges guarantees that  $\omega(G) \geq cn$ ?
- ▶ **Question:** Does there exist a result that given a large proportion of edges guarantees that  $\omega(G) \geq f(n)$ , with  $f$  a function such that  $f(n) \rightarrow \infty$ ?

## Interval graphs

- ▶ In general, they do not exist. Turán's theorem is best possible. Therefore, there are graphs such that

$$|E(G)| > 0.9999 \cdot \frac{n^2}{2} \quad \text{and} \quad \omega(G) < 0.0001 \cdot n.$$

## Interval graphs

- ▶ In general, they do not exist. Turán's theorem is best possible. Therefore, there are graphs such that

$$|E(G)| > 0.9999 \cdot \frac{n^2}{2} \quad \text{and} \quad \omega(G) < 0.0001 \cdot n.$$

- ▶ The best we can get is a  $K_{10001}$ .

## Interval graphs

- ▶ In general, they do not exist. Turán's theorem is best possible. Therefore, there are graphs such that

$$|E(G)| > 0.9999 \cdot \frac{n^2}{2} \quad \text{and} \quad \omega(G) < 0.0001 \cdot n.$$

- ▶ The best we can get is a  $K_{10001}$ .
- ▶ What happens if we restrict ourselves to some families of graphs?

# Interval graphs

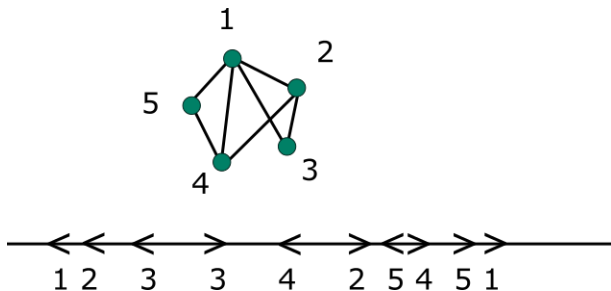
- ▶ In general, they do not exist. Turán's theorem is best possible. Therefore, there are graphs such that

$$|E(G)| > 0.9999 \cdot \frac{n^2}{2} \quad \text{and} \quad \omega(G) < 0.0001 \cdot n.$$

- ▶ The best we can get is a  $K_{10001}$ .
- ▶ What happens if we restrict ourselves to some families of graphs?
- ▶ *Interval graphs*:  $G = (V, E)$ , where  $V$  is a finite family of bounded real intervals and two intervals form an edge if they intersect.
- ▶ We will use  $\mathcal{G}_I$  to denote the family of all interval graphs.



## Example of an interval graph



## Another example of an interval graph

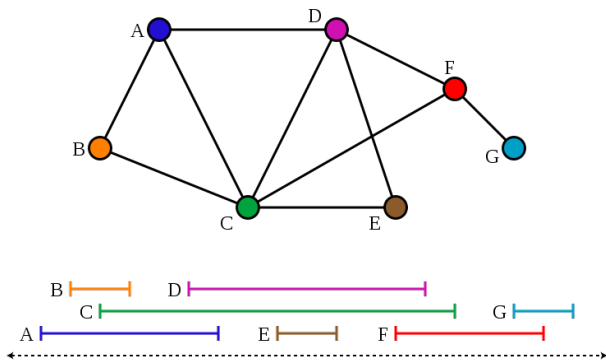


Figure: By David Epstein, Public Domain

## A theorem by Katchalski and Liu

### Theorem (Katchalski, Liu, 1979)

Let  $G \in \mathcal{G}_I$  be an interval graph on  $n$  vertices and  $\alpha \in (0, 1)$  a real number. If  $G$  has more than

$$\alpha \cdot \binom{n}{2}$$

edges, then  $\omega(G) \geq \frac{\alpha}{2} \cdot n$ .

# A theorem by Katchalski and Liu

## Theorem (Katchalski, Liu, 1979)

Let  $G \in \mathcal{G}_I$  be an interval graph on  $n$  vertices and  $\alpha \in (0, 1)$  a real number. If  $G$  has more than

$$\alpha \cdot \binom{n}{2}$$

edges, then  $\omega(G) \geq \frac{\alpha}{2} \cdot n$ .

- ▶ If we have half of the edges, Turán's theorem states that  $\omega(G) \geq 3$ , but K.L. theorem states that  $\omega(G) \geq \frac{n}{4}$ .
- ▶ Why can we have a better Turán's type result?

# A theorem by Katchalski and Liu

## Theorem (Katchalski, Liu, 1979)

Let  $G \in \mathcal{G}_I$  be an interval graph on  $n$  vertices and  $\alpha \in (0, 1)$  a real number. If  $G$  has more than

$$\alpha \cdot \binom{n}{2}$$

edges, then  $\omega(G) \geq \frac{\alpha}{2} \cdot n$ .

- ▶ If we have half of the edges, Turán's theorem states that  $\omega(G) \geq 3$ , but K.L. theorem states that  $\omega(G) \geq \frac{n}{4}$ .
- ▶ Why can we have a better Turán's type result? In this case, it is because of the geometry.

# Questions

- ▶ What is the translation of the involved geometry in combinatorial terms?
- ▶ Can we isolate this property so that we can have a purely combinatorial theorem?

# Questions

- ▶ What is the translation of the involved geometry in combinatorial terms?
- ▶ Can we isolate this property so that we can have a purely combinatorial theorem?
- ▶ In which families can we have a Turán's type result that guarantees  $\omega(G) \geq c \cdot n$ ?
- ▶ In which families can we have a Turán's type result that guarantees  $\omega(G) \geq f(n)$  where  $f(n) \rightarrow \infty$ ?

# Questions

- ▶ What is the translation of the involved geometry in combinatorial terms?
- ▶ Can we isolate this property so that we can have a purely combinatorial theorem?
- ▶ In which families can we have a Turán's type result that guarantees  $\omega(G) \geq c \cdot n$ ?
- ▶ In which families can we have a Turán's type result that guarantees  $\omega(G) \geq f(n)$  where  $f(n) \rightarrow \infty$ ?
- ▶ Can we find some nice applications in geometry or other areas of mathematics?



## Colorings and chromatic number

- ▶ For a positive integer  $c$  we use  $[c]$  to denote  $\{1, 2, \dots, c\}$ .

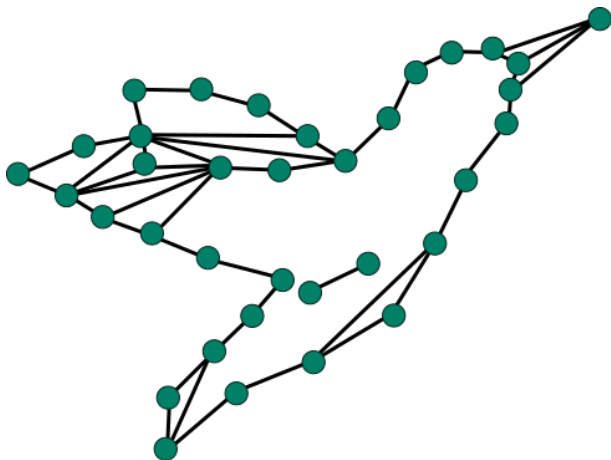
## Colorings and chromatic number

- ▶ For a positive integer  $c$  we use  $[c]$  to denote  $\{1, 2, \dots, c\}$ .
- ▶ A *proper  $c$ -coloring* of  $G$  is a function  $f : V \rightarrow [c]$  such that for adjacent vertices  $v_1$  and  $v_2$  we have that  $f(v_1) \neq f(v_2)$
- ▶ The *chromatic number*  $\chi(G)$  is the minimum  $c$  for which a proper  $c$ -coloring for  $G$  exists.

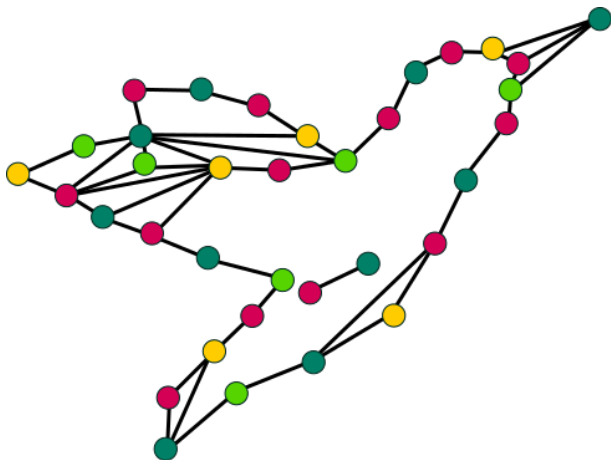
## Colorings and chromatic number

- ▶ For a positive integer  $c$  we use  $[c]$  to denote  $\{1, 2, \dots, c\}$ .
- ▶ A *proper  $c$ -coloring* of  $G$  is a function  $f : V \rightarrow [c]$  such that for adjacent vertices  $v_1$  and  $v_2$  we have that  $f(v_1) \neq f(v_2)$
- ▶ The *chromatic number*  $\chi(G)$  is the minimum  $c$  for which a proper  $c$ -coloring for  $G$  exists.
- ▶ A graph  $G$  is *bipartite* if  $\chi(G) \leq 2$ .

# Hummingbird Graph



## Proper coloring of the Hummingbird Graph



## Cliques, colorings and vertices

- ▶ How are  $\omega(G)$  and  $\chi(G)$  related?

## Cliques, colorings and vertices

- ▶ How are  $\omega(G)$  and  $\chi(G)$  related?
- ▶ The vertices of a clique need distinct colors. Therefore  $\chi(G) \geq \omega(G)$ .

## Cliques, colorings and vertices

- ▶ How are  $\omega(G)$  and  $\chi(G)$  related?
- ▶ The vertices of a clique need distinct colors. Therefore  $\chi(G) \geq \omega(G)$ .
- ▶ Is it possible to upper-bound  $\chi(G)$  by a function depending only on  $\omega(G)$ ?



## Cliques, colorings and vertices

- ▶ How are  $\omega(G)$  and  $\chi(G)$  related?
- ▶ The vertices of a clique need distinct colors. Therefore  $\chi(G) \geq \omega(G)$ .
- ▶ Is it possible to upper-bound  $\chi(G)$  by a function depending only on  $\omega(G)$ ?

Theorem (Descartes, Erdos, Mycielski, Zykov, AMM, etc.)

*There are graphs such that  $\omega(G) \leq 2$  and such that  $\chi(G)$  is arbitrarily large.*

## Cliques, colorings and vertices

- ▶ How are  $\omega(G)$  and  $\chi(G)$  related?
- ▶ The vertices of a clique need distinct colors. Therefore  $\chi(G) \geq \omega(G)$ .
- ▶ Is it possible to upper-bound  $\chi(G)$  by a function depending only on  $\omega(G)$ ?

**Theorem (Descartes, Erdos, Mycielski, Zykov, AMM, etc.)**

*There are graphs such that  $\omega(G) \leq 2$  and such that  $\chi(G)$  is arbitrarily large.*

- ▶ Families in which  $\chi(G') \leq f(\omega(G'))$  for any induced subgraph  $G'$  of a graph  $G$  in the family are interesting and have been widely studied (Gyarfas, 1987).

## Cliques, colorings and vertices

- ▶ How are  $\omega(G)$  and  $\chi(G)$  related?
- ▶ The vertices of a clique need distinct colors. Therefore  $\chi(G) \geq \omega(G)$ .
- ▶ Is it possible to upper-bound  $\chi(G)$  by a function depending only on  $\omega(G)$ ?

**Theorem (Descartes, Erdos, Mycielski, Zykov, AMM, etc.)**

*There are graphs such that  $\omega(G) \leq 2$  and such that  $\chi(G)$  is arbitrarily large.*

- ▶ Families in which  $\chi(G') \leq f(\omega(G'))$  for any induced subgraph  $G'$  of a graph  $G$  in the family are interesting and have been widely studied (Gyarfas, 1987). For example, *perfect graphs*.

And, if we can use the number of vertices?

- ▶ We can upper-bound  $\chi(G)$  using a function of  $\omega(G)$  and  $|V(G)|$

And, if we can use the number of vertices?

- ▶ We can upper-bound  $\chi(G)$  using a function of  $\omega(G)$  and  $|V(G)|$ , for example  $\chi(G) \leq |V(G)|$ .

## And, if we can use the number of vertices?

- ▶ We can upper-bound  $\chi(G)$  using a function of  $\omega(G)$  and  $|V(G)|$ , for example  $\chi(G) \leq |V(G)|$ .
- ▶ How much do we need  $|V(G)|$ ?

## And, if we can use the number of vertices?

- ▶ We can upper-bound  $\chi(G)$  using a function of  $\omega(G)$  and  $|V(G)|$ , for example  $\chi(G) \leq |V(G)|$ .
- ▶ How much do we need  $|V(G)|$ ?

### Proposition

*For any graph  $G$ , the following inequality holds:*

$$\chi(G) \leq \frac{1}{2} \cdot |V(G)| + \frac{1}{2} \cdot \omega(G).$$

## Proof

- ▶ Let  $G$  be a graph,  $n = V(G)$ ,  $\chi = \chi(G)$  and  $\omega = \omega(G)$ . We color  $G$  using  $\chi$  colors.
- ▶ Let  $a_i$  be the number of chromatic classes with  $i$  vertices.



## Proof

- ▶ Let  $G$  be a graph,  $n = V(G)$ ,  $\chi = \chi(G)$  and  $\omega = \omega(G)$ . We color  $G$  using  $\chi$  colors.
- ▶ Let  $a_i$  be the number of chromatic classes with  $i$  vertices.
- ▶ We note that  $a_1 + a_2 + \dots + a_r = \chi$  and that

## Proof

- ▶ Let  $G$  be a graph,  $n = V(G)$ ,  $\chi = \chi(G)$  and  $\omega = \omega(G)$ . We color  $G$  using  $\chi$  colors.
- ▶ Let  $a_i$  be the number of chromatic classes with  $i$  vertices.
- ▶ We note that  $a_1 + a_2 + \dots + a_r = \chi$  and that

$$\begin{aligned}n &= a_1 + 2a_2 + 3a_3 + \dots + ra_r \\ &\geq a_1 + 2(a_2 + a_3 + \dots + a_r) \\ &= a_1 + 2(\chi - a_1) = 2\chi - a_1.\end{aligned}$$

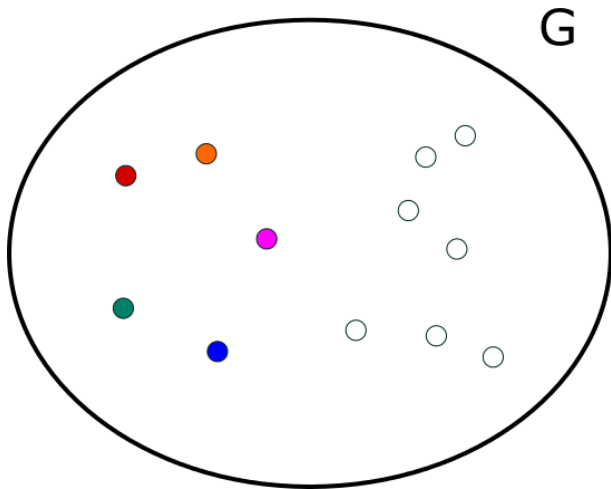
## Proof

- ▶ Let  $G$  be a graph,  $n = V(G)$ ,  $\chi = \chi(G)$  and  $\omega = \omega(G)$ . We color  $G$  using  $\chi$  colors.
- ▶ Let  $a_i$  be the number of chromatic classes with  $i$  vertices.
- ▶ We note that  $a_1 + a_2 + \dots + a_r = \chi$  and that

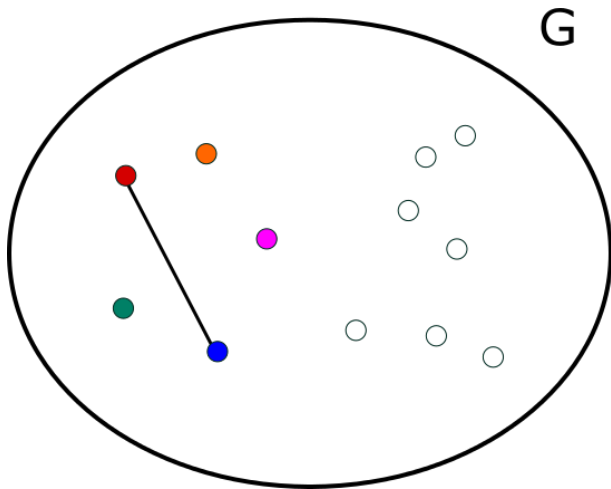
$$\begin{aligned}n &= a_1 + 2a_2 + 3a_3 + \dots + ra_r \\ &\geq a_1 + 2(a_2 + a_3 + \dots + a_r) \\ &= a_1 + 2(\chi - a_1) = 2\chi - a_1.\end{aligned}$$

- ▶ Therefore  $\chi \leq \frac{n+a_1}{2}$ . We now need to prove  $a_1 \leq \omega$ .

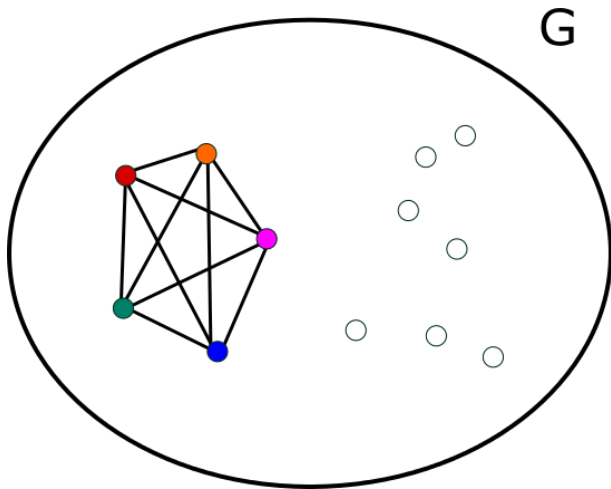
Proof



Proof



Proof



# The results: Some equivalences

## Theorem (L.M. and L. Montejano, 2014)

Let  $\mathfrak{G}$  be a family of graphs that is closed under induced subgraphs. Then the following three statements are equivalent:

- ▶ There are real numbers  $c$  and  $d$  such that
  - ▶ for every graph  $G \in \mathfrak{G}$  we have  $\chi(G) \leq c\omega(G)$  and
  - ▶ for every  $B \in \mathfrak{G}$ ,  $B$  bipartite, we have  $|E(B)| \leq d|V(B)|$ .
- ▶  $\mathfrak{G}$  has a *linear fractional Turán-type theorem*, namely, there exists  $\beta$  such that
  - ▶ for  $G \in \mathfrak{G}$  if  $|E(G)| \geq \alpha \binom{|V(G)|}{2}$ , then  $\omega(G) \geq \alpha\beta n$ .
- ▶ There exists a constant  $C$  such that
  - ▶ If  $G$  is a graph on  $n$  vertices such that  $\omega(G) \leq k$ , then  $|E(G)| \leq Cnk$ .

## The results: Chromatic number bound

Theorem (L.M. and L. Montejano, 2014)

*For any  $\epsilon > 0$  there exists a function  $f_\epsilon$  such that for any graph  $G$  the following inequality holds:*

$$\chi(G) \leq \epsilon \cdot |V(G)| + f_\epsilon(\omega(G)).$$



## The results: Chromatic number bound

Theorem (L.M. and L. Montejano, 2014)

*For any  $\epsilon > 0$  there exists a function  $f_\epsilon$  such that for any graph  $G$  the following inequality holds:*

$$\chi(G) \leq \epsilon \cdot |V(G)| + f_\epsilon(\omega(G)).$$

Theorem (L.M. and L. Montejano, 2014)

*Let  $\mathfrak{G}$  be a class family of graphs in which  $|E(B)| \leq d|V(B)|$  for a global constant  $d$ .*

*Then for any  $\alpha > 0$ , the graphs in the set*

*$\{G \in \mathfrak{G} : |E(G)| \geq \alpha \binom{|V(G)|}{2}\}$  satisfy that  $\omega(G) \rightarrow \infty$  as  $|V(G)| \rightarrow \infty$ .*

## Some problems

- ▶ Is it true that for any graph  $G$  we have the following?

$$\chi(G) \leq \frac{1}{3} \cdot |V(G)| + 1000 \cdot \omega(G).$$

- ▶ Is it true if we change 1000 by a larger constant?

# Contact

## Contact details

`leomtz@im.unam.mx`

`http://blog.nekomath.com`

